

Please type a plus sign (+) inside this box → ☐**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/616,573
	<b>Filing Date</b>	July 10, 2003
	<b>First Named Inventor</b>	Hung T. Du
	<b>Group Art Unit</b>	not yet assigned
	<b>Examiner Name</b>	not yet assigned
<b>Total Number of Pages in This Submission</b>	<b>Attorney Docket Number</b>	0275Y-000431/CPG

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Form 1449 with 1 Other Document; and return receipt postcard</b>
<b>Remarks</b>		<b>The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 02-2548. A duplicate copy of this sheet is enclosed.</b>

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Harness, Dickey & Pierce, P.L.C.	<b>Attorney Name</b> Roland A. Fuller III	<b>Reg. No.</b> 31,160
<b>Signature</b>			
<b>Date</b>	October 8, 2003		

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

<b>Typed or printed name</b>	Andrea Blendea	<b>Date</b>	October 8, 2003
<b>Signature</b>			



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/616,573  
Filing Date: July 10, 2003  
Applicant: Hung T. Du  
Group Art Unit: not yet assigned  
Examiner: not yet assigned  
Title: Dynamoelectric Machine Having Encapsulated Coil  
Structure With One or More of Phase Change Additives,  
Insert Molded Features and Insulated Pinion  
Attorney Docket: 0275Y-000431/CPG

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Director of The United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. **LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION**

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. **COPIES**

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. X Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

<u>U.S. Serial Number</u>	<u>U.S. Filing Date</u>
09/756,959	01/09/01
09/836,517	04/17/01
60/395,251	07/12/02
10/365,065	02/12/03

C.      This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).

B.      A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):

1.      See the attached foreign patent office communication from a counterpart foreign application:
2.      English translations are provided for:
3.      Other:

C. X The following additional information is provided for the Examiner's consideration.

Translation of Japanese Publication No. 07-123642 (Japanese Patent Application No. 05-264811) (Japanese Patent Application submitted as Document No. 1 under Foreign Patent Documents in IDS filed August 20, 2002, under Serial No. 09/826,517.)

Translation of Japanese Publication No. 07-123618 (Japanese Patent Application No. 05-264812) (Japanese Patent Application submitted as Document No. 2 under Foreign Patent Documents in IDS filed August 20, 2002, under Serial No. 09/826,517.)

U.S. 1,642,057

Serial No. 10/616,573

U.S. 2,019,064

U.S. 2,820,914

U.S. 3,688,137

U.S. 4,983,866

U.S. 5,490,319

U.S. 5,634,258

U.S. 5,727,307

U.S. 5,925,467

DE 31 15 713 A1 (Document No. 46 under Foreign Patent Documents in IDS filed August 20, 2002, under Serial No. 09/826,517.)

While applicants believe that the above may be the most pertinent of the documents they have submitted, applicants do not represent that they have thoroughly studied each document submitted. Applicants invite the Examiner to make the Examiner's own independent assessment of the pertinence of the submitted documents.

IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. X The Examiner is advised that the following application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

<u>Serial No.</u>	<u>Filing Date</u>	<u>Art Unit</u>
09/756,959	01/09/01	2834
09/836,517	04/17/01	2834
10/616,871	07/03/03	
10/250,623	07/10/03	
10/365,065	02/12/03	2834
10/167,342	06/10/02	
US0144902	11/30/01	
US0321544	07/10/03	

V. THIS IDS IS BEING FILED UNDER

A. X 37 C.F.R. § 1.97(b): (check only one box)

1. \_\_\_\_ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

2. \_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.

3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

4. \_\_\_\_ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.

B. \_\_\_\_ 37 C.F.R. § 1.97(c): (check only one box)

- before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.

1. \_\_\_\_ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

2. \_\_\_\_ See the certification below. No fee is required.

C. \_\_\_\_ 37 C.F.R. § 1.97(d):

- after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

1. \_\_\_\_ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)

The undersigned hereby certifies that:

A. \_\_\_\_ each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or

- B.      no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
- C.      Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

     each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

A.      A check in the amount of \$180.00 is enclosed for the above-identified fee.

B.      Please charge Deposit Account No. 02-2548 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.


The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2548.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 02-2548.

Respectfully submitted,

Dated: October 8, 2003

By:   
Roland A. Fuller III  
Reg. No. 31,160

HARNESS, DICKEY & PIERCE, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
(248) 641-1600

RAF/akb



FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 1 of 10

ATTORNEY DOCKET No.

0275Y-000431/CPG

SERIAL No.

10/616,573

APPLICANT

Hung T. Du

FILING DATE

July 10, 2003

GROUP

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		2,019,064	10/29/1935	V.G. Apple		
2.		2,232,812	02/25/1941	Clair W. Studer		
3.		2,381,533	08/07/1945	F.P. Forss		
4.		2,618,757	11/18/1952	T.R. Wieseman et al.		
5.		2,683,233	07/06/1954	F.L. Ruhl		
6.		2,747,119	05/22/1956	M.E. Petersen et al.		
7.		2,820,914	01/21/1958	H. Rudoff et al.		
8.		2,822,483	02/04/1958	M.V. De Jean et al.		
9.		2,949,555	08/16/1960	T.R. Paul		
10.		2,997,776	08/29/1961	R.C. Matter et al.		
11.		3,151,262	09/29/1964	C.F. Howard et al.		
12.		3,182,383	05/11/1965	J. Rosenberg et al.		
13.		3,212,170	10/19/1965	J.W. Marshall		
14.		3,388,458	06/18/1968	J.L. Logan		
15.		3,407,491	10/29/1968	C.L. Clevenger et al.		
16.		3,427,264	02/11/1969	E.O. Forster et al.		
17.		3,436,815	04/08/1969	M.W. Sheets		
18.		3,468,020	09/23/1969	D.W. Carlson et al.		
19.		3,588,560	06/28/1971	Hans Akselsen		
20.		3,618,929	11/09/1971	Harold R. Upchurch		
21.		3,688,137	08/29/1972	Filhol		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
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(Use several sheets if necessary)

Sheet 2 of 10

ATTORNEY DOCKET NO.

0275Y-000431/CPG

SERIAL NO.

10/616,573

APPLICANT

Hung T. Du

FILING DATE

July 10, 2003

GROUP

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.		3,758,799	09/11/1973	Dochterman et al.		
23.		3,813,294	05/28/1974	C.H. Dyer et al.		
24.		3,874,073	04/01/1975	Dochterman et al.		
25.		4,038,741	08/02/1977	Schuler		
26.		4,087,712	05/02/1978	Mosimann et al.		
27.		4,159,562	07/03/1979	Liptak et al.		
28.		4,219,748	08/26/1980	Sakaguchi et al.		
29.		4,235,656	11/25/1980	Shimada et al.		
30.		4,263,711	04/28/1981	Sakano et al.		
31.		4,341,972	07/27/1982	Penn et al.		
32.		4,349,760	09/14/1982	Diepers		
33.		4,387,311	06/07/1983	Kobayashi et al.		
34.		4,399,949	08/23/1983	Penn et al.		
35.		4,553,320	11/19/1985	Bryant-Jeffries et al.		
36.		4,559,464	12/17/1985	Stokes		
37.		4,560,893	12/24/1985	van de Griend		
38.		4,616,407	09/14/1986	Tamaki et al.		
39.		4,624,884	11/25/1986	Harada et al.		
40.		4,682,410	07/28/1987	Kreuzer et al.		
41.		4,782,254	11/01/1988	Kreuzer et al.		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 3 of 10

ATTORNEY DOCKET No.

SERIAL No.

0275Y-000431/CPG

10/616,573

APPLICANT

Hung T. Du

FILING DATE

GROUP

July 10, 2003

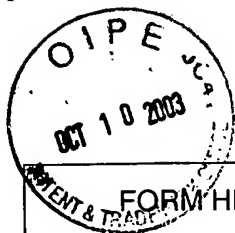
**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.		4,800,315	01/24/1989	Schulz et al.		
43.		4,818,910	04/04/1989	Reisenweber		
44.		4,823,032	04/18/1989	Ward et al.		
45.		4,908,534	03/13/1990	Gubler et al.		
46.		4,908,535	03/13/1990	Kreuzer et al.		
47.		4,918,801	04/24/1990	Schwarz et al.		
48.		4,922,604	05/08/1990	Marshall et al.		
49.		4,963,776	10/16/1990	Kitamura		
50.		5,003,212	03/26/1991	Ibe et al.		
51.		5,095,612	03/17/1992	McAvena		
52.		5,121,021	06/09/1992	Ward		
53.		5,130,596	07/14/1992	Umeki		
54.		5,233,249	08/03/1993	Schaeftlmeier et al.		
55.		5,244,608	09/14/1993	Andersen		
56.		5,268,607	12/07/1993	McManus		
57.		5,313,698	05/24/1994	Schaeftlmeier et al.		
58.		5,341,561	08/30/1994	Schorm et al.		
59.		5,352,948	10/04/1994	Kirn et al.		
60.		5,459,190	10/17/1995	Nakamura et al.		
61.		5,477,092	12/19/1995	Tarrant		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 4 of 10

ATTORNEY DOCKET No.

SERIAL No.

0275Y-000431/CPG

10/616,573

APPLICANT

Hung T. Du

FILING DATE

GROUP

July 10, 2003

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
62.		5,490,319	02/13/1996	Nakamura et al.		
63.		5,567,284	10/22/1996	Bauer et al.		
64.		5,584,114	12/17/1996	McManus		
65.		5,606,791	03/04/1997	Fougere et al.		
66.		5,634,258	06/03/1997	Onodera et al.		
67.		5,668,428	09/16/1997	Stojkovich et al.		
68.		5,714,827	02/03/1998	Hansson		
69.		5,715,590	02/10/1998	Fougere et al.		
70.		5,727,307	03/17/1998	Gstohl et al.		
71.		5,731,646	03/24/1998	Heinze et al.		
72.		5,731,651	03/24/1998	Hyodo		
73.		5,783,877	07/21/1998	Chitayat		
74.		5,783,888	07/21/1998	Yamano		
75.		5,806,169	09/15/1998	Trago et al.		
76.		5,821,654	10/13/1998	Woo		
77.		5,845,389	12/08/1998	Roberts et al.		
78.		5,911,685	06/15/1999	Siess et al.		
79.		5,921,913	07/13/1999	Siess		
80.		5,925,467	07/20/1999	Strumpler et al.		
81.		5,955,812	09/21/1999	Warner		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 5 of 10

ATTORNEY DOCKET NO.	SERIAL NO.
0275Y-000431/CPG	10/616,573
APPLICANT	
Hung T. Du	
FILING DATE	GROUP
July 10, 2003	

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
82.		5,960,532	10/05/1999	Hill		
83.		5,964,694	10/12/1999	Siess et al.		
84.		5,982,056	11/09/1999	Koyama et al.		
85.		5,998,905	12/07/1999	Fougere et al.		
86.		6,020,661	02/01/2000	Trago et al.		
87.		6,057,626	05/02/2000	Tanaka et al.		
88.		6,139,487	10/31/2000	Siess		
89.		6,145,585	11/14/2000	Wei		
90.		6,166,468	12/26/2000	Suzuki et al.		
91.		6,173,915	01/16/2001	Cohen et al.		
92.		6,268,678	07/31/2001	Asao et al.		
93.		6,281,612	08/28/2001	Asao et al.		
94.		6,317,963	11/20/2001	Powers et al.		
95.		6,362,554	03/26/2002	Neal		
96.		1,642,057	9/13/1927	V.G. Apple		
97.		3,911,299	10/07/1975	Kristen et al.		
98.		4,888,508	12/19/1989	Adam et al.		
99.		6,060,799	05/09/2000	McManus et al.		
100.		6,078,121	06/20/2000	Poag et al.		
101.		3,991,152	11/09/1976	Santi et al.		

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>FORM HDP-1449 (Based on Form PTO-1449)</b>  <b>PATENT AND TRADEMARK OFFICE</b> <b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  Sheet 6 of 10	ATTORNEY DOCKET NO.	SERIAL No.
	0275Y-000431/CPG	10/616,573
	APPLICANT	
	Hung T. Du	
	FILING DATE	GROUP
	July 10, 2003	

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
102.		3,939,020	2/17/1976	Caramanian et al.		
103.		4,083,735	04/11/1978	Caramanian		
104.		4,128,527	12/05/1978	Kinjo		
105.		4,434,546	03/06/1984	Hershberger		
106.		4,938,866	07/03/1990	Ward		
107.		4,998,448	03/12/1991	Ellis Jr.		
108.		5,141,768	08/25/1992	Ibe et al.		
109.		5,199,992	04/06/1993	Hines et al.		
110.		5,201,248	04/13/1993	Ibe et al.		
111.		5,267,140	11/30/1993	Ibe		
112.		5,384,339	01/24/1995	Starkey		
113.		5,572,787	11/12/1996	Cardini et al.		
114.		5,908,883	01/01/1999	Caramanian		
115.		6,171,418	01/09/2001	Caramanian		
116.		6,214,906	04/10/2001	Carmanian		
117.		5,329,199	07/12/1994	Yockey et al.		
118.		5,449,963	09/12/1995	Mok		
119.		4,983,866	01/08/1991	Lok		
120.		3,737,988	06/12/1973	Bednarski		
121.		5,727,307	03/17/1998	Gstohl et al.		

Examiner:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE CITATION**  
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Sheet 7 of 10

ATTORNEY DOCKET NO.	SERIAL NO.
0275Y-000431/CPG	10/616,573
APPLICANT	
Hung T. Du	
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**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
1.		PCT/DE96/01489	2/27/97	PCT			
2.		JP 3-293943	12/25/1991	Japan			
3.		JP 61-269640	11/29/1986	Japan			
4.		JP 3-265437	11/26/1991	Japan			
5.		JP 5-199717	08/06/1993	Japan			
6.		JP 5-199716	08/06/1993	Japan			
7.		JP 2000-197295	12/07/2001	Japan			
8.		JP 9-172748	06/30/1997	Japan			
9.		JP 2001-169501	05/16/2001	Japan			
10.		JP 10-166398	09/14/1999	Japan			
11.		JP 7-123642	05/12/1995	Japan			
12.		JP 7-123618	05/12/1995	Japan			
13.		JP 1-123459	05/16/1989	Japan			
14.		JP 4-121364	04/22/1992	Japan			
15.		JP 53-98007	08/26/1978	Japan			
16.		JP 7-75271	03/17/1995	Japan			
17.		JP 2000-23432	1/21/2000	Japan			
18.		DE 35 22 084	01/02/1987	Germany			
19.		DE 28 38 179	03/29/1979	Germany			

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0275Y-000431/CPG

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**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
20.		DE 195 03 085	09/12/1996	Germany			
21.		DE 32 34 275	03/15/1984	Germany			
22.		DE 197 49 108	04/01/1999	Germany			
23.		DE 27 12 403	09/28/1978	Germany			
24.		DE 42 41 404	06/16/1994	Germany			
25.		DE 1 021 466	12/27/1957	Germany			
26.		DE 197 01 307	07/23/1998	Germany			
27.		DE 29 19 485	12/04/1980	Germany			
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29.		DE 199 54 314	05/17/2001	Germany			
30.		DE 1 488 502	05/14/1969	Germany			
31.		DE 199 43 446	03/15/2001	Germany			
32.		DE 31 15 713	11/04/1982	Germany			
33.		DE 198 54 642	06/15/2000	Germany			
34.		DE 197 35 748	07/30/1998	Germany			
35.		DE 199 02 837	08/10/2000	Germany			
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37.		DE 199 05 869	10/26/2000	Germany			
38.		DE 1 805 369	10/16/1969	Germany			
39.		DE 1 844 364	01/04/1962	Germany			

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**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
40.		EP 0 581 966	02/09/1994	Europe			
41.		AU 240458	5/25/1965	Austria			
42.		EP 0 858 147	8/12/1998	Europe			
43.		DE 873 272	04/13/1953	Germany			
44.		DE 1 922 427	11/05/1970	Germany			
45.		GB 2 202 170	9/21/1988	Great Britain			
46.		DE 31 15 713	11/04/1982	Germany			
47.		DE 38 14 040	11/09/1989	Germany			
48.		DE 38 33 574	04/05/1990	Germany			
49.		DE 44 27 426	05/11/1995	Germany			
50.		DE 77 08 518	7/28/1977	Germany			
51.		EP 78100755.4	4/4/1979	Europe			
52.		EP 92105190.0	10/7/1992	Europe			
53.		PO Sho53-09800	02/07/1977	Japan			
54.		PCT/SE00/01521	07/28/2000	PCT			
55.		P44 30 073.5	02/29/1996	Germany			
56.		P21 43 542.7-32	04/15/1982	Germany			
57.		G 80 21 477 3	08/11/1980	Germany			
58.		P44 21 855.9	01/04/1996	Germany			
59.		298 21 564.0	08/27/2000	Germany			

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Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
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60.		195 09 835.8	03/17/1995	Germany			
61.		89107829.7	04/29/1989	Europe			
62.		91909297.3	05/10/1991	Europe			
63.		93101340.3	01/28/1993	Europe			
64.		96909912.6	04/03/1996	Europe			

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
1.		International Search Report - PCT/US01/44902; ISA/EPO, 25 February 2002

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